DEC 0 9 2003

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/615,309 TRANSMITTAL Filing Dat 07/08/2003 FORM First Named Inventor MOSER, William R. (to be used for all correspondence after initial filing) **Group Art Unit Examiner Name** Total Number of Pages in This Submission 5 Attorney Docket Number 24961-75 **ENCLOSURES** (check all that apply) Assignment Papers Fee Transmittal Form After Allowance Communication (for an Application) to Group Fee Attached Appeal Communication to Board Drawing(s) of Appeals and Interferences Amendment / Reply Licensing-related Papers Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Extension of Time Request Other Enclosure(s) (please Address identify below): Terminal Disclaimer **Express Abandonment Request** Request for Refund 1) Form PTO/SB/08A (2 pgs.); Information Disclosure Statement CD, Number of CD(s) \_ 2) Return receipt postcard. Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Gregory S. Kolocouris, Reg. No. 47,714 Individual name Benesch, Friedlander, Coplan & Aronoff, LLP Signature Date 12/05/2003 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington PG 22314 on this date: P.O. Box 1450, Alexandria, VA 22313-1 12/05/2003 Kara L. Krist Typed or printed name Lara L. Krist Signature 12/05/2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date



#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

MOSER, William R. et al.

TITLE

METHOD OF PREPARING METAL CONTAINING COMPOUNDS USING

HYDRODYNAMIC DAVITATION

SERIAL NO.

10/615,309

FILING DATE

July 8, 2003

ATTORNEY DOCKET NO.

24961-75

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

### Dear Examiner:

- 1. This Information Disclosure Statement is filed in accordance with 36 C.F.R. §§§ 1.56, 1.97 and 1.98. The items listed on the enclosed Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.
- 2. Pursuant to 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.
- 3. Pursuant to 37 C.F.R. § 1.97(h), the filing of this Information Disclosure Statement shall not be construed as an admission that the information cited in this Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

- 4. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):
  - () Copy of each English language version of a search report by a foreign patent office in a counterpart foreign application, indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
  - ( ) Attached abstract for Non-English Language Documents.
  - 5. Pursuant to 37 C.F.R. § 1.97(b) this Statement is being filed:
    - () within 3 months of the filing date of a national application; or
    - () within 3 months of the date of the entry of the national stage as set forth in 37 CFR § 1.491 in an international application; or
    - (X) before the mailing date of a first Office Action on the merits.

The Commissioner is hereby authorized to charge any additional fees required for consideration of this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 02-2051, identifying our Docket No. 24961-75.

Respectfully submitted,

Dated: December 5, 2003

By:

Gregory S. Kolocouris Registration No. 47,714

BENESCH, FRIEDLANDER, COPLAN & ARONOFF, LLP 2300 BP Tower 200 Public Square Cleveland, Ohio 44114-2378 (216) 363-4453

ů.	
Pleasur pe a plus sign (+) i	inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 4440.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

control number.				
Complet if Kn wn				
Application Number	10/615,309			
Filing Date	07/08/2003			
First Named Inventor	MOSER, William R.			
Group Art Unit				
Examiner Name				
Attorney Docket Number	24961 75			

				U.S. PATENT DOC	JMENTS	
Examiner Initials*	Cite No.1	U.S. Patent Number	Document  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant
	AA	2,790,838		Schrader	04/30/1957	Figures Appear
	AB	3,408,417		Sogawa et al.	10/29/1968	
	AC	3,834,982		Alexandrovich et al.	09/10/1974	
	AD	3,937,445		Agosta	02/10/1976	
	AE	3,988,329		Zucker	10/26/1976	<del></del>
	AF	4,127,332		Thiruvengadam et al.	11/28/1978	
	AG	5,300,216		Hertzberg et al.	04/05/1994	
	AH	5,413,145		Rhyne et al.	05/09/1995	
	AI	5,417,956		Moser	05/23/1995	
	AJ	5,466,646		Moser	11/14/1995	
	AK	5,492,654		Kozyuk et al.	02/01/1996	
	AL	5,810,052		Kozyuk	09/22/1998	
	AM	5,931,771		Kozyuk	08/03/1999	
	AN	5,937,906		Kozyuk	08/17/1999	
	AO	5,969,207		Kozyuk	10/19/1999	
	AP					
	AQ		-			
	AR					
	AS					
	AT					

L	_			FOR	EIGN PATENT DOCUMENT	TS		
	Cite '	ļ	Foreign Patent Docu	ıment	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,	
		Office <sup>3</sup>		Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	AU	$igsqcut_{-}$	EP 90403456.8		Rae	12/05/1990		
	AV		EP 93206355.8		Hidalgo	07/08/1992		ŤΠ
	AW		GB 983962		Lemetre et al.	10/05/1961		1-1
	AX							+
	AY							† †
	AZ							+
<b></b>		$\vdash$	<del></del>					
						<del></del>	-	
					<del></del>			-

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

type a plus sign (+) inside this box 🛶	+

type a plus sign (+) inside this box  $\rightarrow$  + Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

Compl te if Known			
Application Number 10/615,309			
Filing Date	07/08/2003		
First Named Inventor	MOSER, William R.		
Group Art Unit			
Examiner Name			
Attorney Docket Number	24961-75		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	ВА	"Magnum Water Technology" by WESTERN MAGNUM CORPORATION, August 12, 1997.				
	вв	"Use of Hydrodynamic Cavitation for Emulsifying and Homogenizing Processes", KOZYUK, OLEG, American Laboratory News, June 1999.				
	вс					
	BD					
	BE					
	BF					
	ВG					
	вн					
	ВІ					
	ВЈ					
	вк					
 L						
	<del></del>					

	i i	
Examiner	Date	
Signature	 Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.